



Attorney Docket No. 50325-0554

2151

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shigang CHEN

Serial No.: 09/872,056

Filed on: May 31, 2001

) Confirmation No.: 2301

) Examiner: Khanh Q. Dinh

) Group Art Unit No.: 2151

For: IMPLEMENTING NETWORK MANAGEMENT POLICIES USING TOPOLOGY  
REDUCTION

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

       37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

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\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_ X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: August 1, 2005



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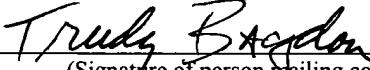
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Trudy Bagdon

(Typed or printed name of person mailing correspondence)



Trudy Bagdon

(Signature of person mailing correspondence)

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
(PTO-1449)**



**ATTY. DOCKET NO.  
50325-0554**

**SERIAL NO.  
09/872,056**

**APPLICANT:  
SHIGANG CHEN**

**FILING DATE:  
May 31, 2001**

**GROUP:  
2151**

**U.S. PATENT DOCUMENTS**

| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear |
|-------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
|                   |                          | Number               | Kind Code <sup>2</sup><br>(If known) |  |  |  |
|                   |                          |                      |                                      |  |  |  |
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**FOREIGN PATENT DOCUMENTS**

| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Pages,<br>Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures<br>Appear | <sup>T</sup> <sup>6</sup> |
|-------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|---|--|---------------------------|
|                   |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(If known) |  |   |  |                           |
|                   |                          |                         |                     |                                      |  |   |  |                           |

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

|                    |                      |  |                          |
|--------------------|----------------------|--|--------------------------|
| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation <sup>2</sup> |
|                    |                      | K. Lougheed, et al., “A Border Gateway Protocol (BGP)”, Network Working Group Request for Comments: 1105, June 1989, pp. 1-5   |                          |
|                    |                      | K. Lougheed, et al., “A Border Gateway Protocol (BGP)”, Network Working Group Request for Comments: 1163, June 1990, pp. 1-28  |                          |
|                    |                      | K. Lougheed, et al., “A Border Gateway Protocol 3 (BGP-3)”, Network Working Group Request for Comments: 1267, October 1991, pp. 1-33   |                          |
|                    |                      | Y. Rekhter, et al., “Application of the Border Gateway Protocol in the Internet”, Network Working Group Request for Comments: 1268, October 1991, pp. 1-13   |                          |

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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|   |  |   |                                   |
|---|--|---|-----------------------------------|
| Substitute for Form 1449A/PTO (Modified)<br>(use as many sheets as necessary) |  | Attorney Docket No.:<br>50325-0554                  | Application Number:<br>09/872,056 |
|   |  | <b>First Named Inventor:</b><br><b>SHIGANG CHEN</b> |                                   |
|   |  | <b>Filing Date:</b><br><b>May 31, 2001</b>          |                                   |

#### OTHER ART – NO PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation <sup>2</sup> |
|--------------------|----------------------|--|--------------------------|
|                    |                      | S. Willis, et al., "Definitions of Managed Objects for the Border Gateway Protocol (Version 3)", Network Working Group Request for Comments: 1269, October 1991, pp. 1-8   |                          |
|                    |                      | Y. Rekhter, et al., "Application of the Border Gateway Protocol in the Internet", Network Working Group Request for Comments: 1655, July 1994, pp. 1-12  |                          |
|                    |                      | Y. Rekhter, et al., "Application of the Border Gateway Protocol in the Internet", Network Working Group Request for Comments: 1772, March 1995, pp. 1-18   |                          |
|                    |                      | J. Moy, "OSPF protocol analysis", Network Working Group Request for Comments: 1245, July 1991, pp. 1-12  |                          |
|                    |                      | J. Moy, "Experience with the OSPF protocol", Network Working Group Request for Comments: 1246, July 1991, pp. 1-29   |                          |
|                    |                      | J. Moy, "OSPF Version 2", Network Working Group Request for Comments: 1247, July 1991, pp. 1-17  |                          |
|                    |                      | G. Malkin, "RIP Version 2 Protocol Analysis", Network Working Group Request for Comments: 1387, January 1993, pp. 1-3  |                          |
|                    |                      | G. Malkin, "RIP Version 2 Protocol Analysis", Network Working Group Request for Comments: 1721, November 1994, pp. 1-4   |                          |
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